

02-25-02

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 2100752-991030
		First Inventor Johal et al.
		Title METHODS AND SYSTEMS FOR USING DISTRIBUTED BUSINESS DATA USING. . . .
		Express Mail Label No. EL 904 946 505 US

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7 U.S. PTO
02/20/02

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents		Commissioner of Patents and Trademarks Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below)</p> <p>-Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings (if filed) -Detailed description -Claim(s) -Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets 8]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR. 1.76.</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identify of above copies</p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input checked="" type="checkbox"/> Assignment Papers ((cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney by Assignee</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Express Mail Certificate; Check in the amount of \$452.00.</p> <p>17. <input checked="" type="checkbox"/> Other: _____</p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part of prior acceptance No.: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer Number or Bar Code Label here)		<input type="checkbox"/> Correspondence address below
Name	26379			
Address	PATENT TRADEMARK OFFICE			
City	State	Zip Code		
Country	Telephone	Fax		

NAME (Print/Type)	Ronald L. Yin	Registration No. (Attorney/Agent)	27,607
Signature	<i>Ronald L. Yin</i>		Date February 20, 2002

Please type a plus sign (+) inside this box

+

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

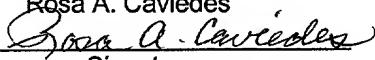
Express Mail Label Number: EL 904 946 505 US

*I hereby certify that this Utility Patent Application is
being deposited with the United States Postal Service
"EXPRESS MAIL Post Office to Addressee" service under 37
CFR 1.10 on the date indicated above and is addressed to
Box Patent Application, Commissioner of Patents &
Trademarks; Washington, DC 20231.*

Rosa A. Caviedes

February 20, 2002

Date



Signature

COPY

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 2100752-991030
Applicant(s): Johal et al.			
Serial No. Not yet assigned	Filing Date February 20, 2002	Examiner Not yet assigned	Group Art Unit Not yet assigned
Invention: METHODS AND SYSTEMS FOR USING DISTRIBUTED BUSINESS DATA USING OBSERVATION TECHNOLOGY TO AVOID THE NEED TO INTEGRATE SERVERS AND CLIENTS			
<p>I hereby certify that this <u>Fee Transmittal for FY 2002 (1 pg.); Utility Application Transmittal Letter (2 pgs.); Utility Patent Application (29 pgs.) with 8 sheets of Informal Drawings; Declaration for Patent Application- Signed (3 pgs.); Recordation Cover Sheet w/Assignment – (5 pgs.); Power of Attorney by Assignee - Signed (2 pgs.); Check for \$452.00; and Return Post Card.</u></p> <p><i>(Identify type of correspondence)</i></p>			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner of Patents and Trademarks, Washington, D.C., 20231-0001 on <u>February 20, 2002</u>			
<p><i>(Date)</i></p> <p><u>Rosa A. Caviedes</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p> <small>(Signature of Person Mailing Correspondence)</small></p> <p><u>EL 904 946 505 US</u> <small>("Express Mail" Mailing Label Number)</small></p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			
<p>EL 904 946 505 US</p>			

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 452.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	February 20, 2002
First Named Inventor	Johal et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	2100752-991030

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	07-1896
Deposit Account Name	Gray Cary Ware & Freidenrich LLP

Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check in the amount of \$ \$ 452.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
101	740	201	370 Utility filing fee
106	330	206	165 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee
SUBTOTAL (1)		(\$)	370.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	15	-20** = 0 X 9 = 0	0
Independent	4	-3** = 1 X 42 = 42	42
Claims			
Multiple Dependent		X =	

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	80	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 42.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive – unavoidable	
141	1,280	241 640 Petition to revive – unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
* Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$) 40.00

SUBMITTED BY:				
Name (Print/Type)	Ronald L. Yin	Registration No. (Attorney/Agent)	27,607	Telephone (650) 833-2000
Signature	Ronald L. Yin		Date	February 20, 2002